

THE REPUBLIC OF GEORGIA



Municipality of Batumi

GERMAN FINANCIAL CO-OPERATION WITH GEORGIA

Loan No: BMZ 2011 66 719/ BMZ 2013 97 728

Project Name: Rehabilitation of Municipal Water Supply & Wastewater
Infrastructure in Batumi – Phase III

Pre-Qualification Documents for Batumi III, Tender Package 3

Stormwater Works - Pumping station and associated basins, Collectors and Channels

Works and Supply Contract

July 2017

Issued: ICB No.: Employer: July 2017 KFW/BMZ 2011 66 719/BATUMI/PH-III/C-3 Municipality of Batumi

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Section I: Instructions to Applicants

Section I: Instructions to Applicants

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	A. General		
1. Scope of Application	1.1 In connection with the Invitation for Tendering the Employer, as defined in the ADS, issues this Qualification Document to applicants interested in bidding for the works described in Section V, Scope of Contract. The International Competitive Bidding (ICB) number corresponding to this pre-qualification, is provided in the ADS.		
2. Source of Funds	2.1 The Republic of Georgia has received a loan from the Government of the Federal Republic of Germany through KfW development bank (as hereafter called "the Bank" or "KfW") towards the costs of the Program named in the ADS.		
	2.2 The Georgian Government has concluded a subsidiary financing agreement with the Beneficiary, Municipality of Batumi –MoB-, which is acting as the Employer. The MoB intends to apply a portion of the funds to eligible payments under the contract resulting from the bidding for which this qualification is conducted (hereinafter called "the Contract"). Payments for this contract will be made only in accordance with the terms and conditions of the Financing Agreement, and will be subject in all respects to the terms and conditions of that Agreement. Except as the Bank may specifically otherwise agree, no party other than the Employer shall derive any rights from the Financing Agreement or have any rights to the fund proceeds.		
	2.3 Although various departments of the Government of Georgia and KfW development bank retain certain approval rights, they shall in no way be deemed as party to the Contract or be under any obligation to the Contractor.		
3. Corrupt Practices	3.1 The Bank requires that all beneficiaries of its funding, as well as Bidders, Suppliers, Contractors, and Consultants participating in projects which it finances, adhere to the highest ethical standards, both during the selection process and throughout the execution of a contract.		
	3.2 In pursuance of this policy, the Agency defines the terms set forth below as follows:		
	(a) "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of a public official in the selection process or in contract execution;		
	(b) "fraudulent practice" is a misrepresentation or omission of facts in order to influence a selection process or the execution of a contract;		
	(c) "collusive practices" is a scheme or arrangement between two or more consultants with or without the knowledge of the Client, designed to establish prices at artificial, non-competitive levels;		
	(d) "coercive practices" is harming or threatening to harm, directly or indirectly, persons or their property to influence their		

participation in a procurement process, or affect the execution of a contract.

- (e) "obstructive practices" is
- (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede an Agency investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or
- (bb) acts intended to materially impede the exercise of the Agency's inspection and audit rights provided for under the Contract.
- 3.3 As a result of its own investigations and findings, and in accordance with its procedures, the Agency will:
 - reject a proposal for award if it determines that the Bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for the contract in question;
 - cancel the portion of the funding allocated to a contract if it determines at any time that representatives of the Employer were engaged in corrupt, fraudulent, collusive or coercive practices during the selection process or the execution of the contract, without the Employer having taken timely and appropriate action satisfactory to the Agency to remedy the situation;
 - c) sanction a firm or individual, including declaring them ineligible ineligible, either indefinitely or for a stated period of time, to be awarded a contract financed by the Agency if at any time determines that the Bidder has, directly of through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for, or in executing, a contract financed by the Agency.
- 3.4 The Agency reserves the right, when it has been established by a national or international organization that a firm has engaged in corruption or fraud, to declare such firm ineligible to contracts financed by the Agency for a stated period.
- 3.5 The Agency will have the right to require that a provision be included in contracts which it finances, requiring bidders, suppliers, contractors and consultants to permit the Agency to inspect their accounts and records and other documents relating to contract performance and to have them audited by auditors appointed by the Agency.
- 3.6 All communications between Bidders and the Purchaser concerning fraud and corruption must be in writing.
- 3.7 The Employer declares that the negotiation, the procurement, and the execution of the Contract has not been, and will not be subject to actions that would or could constitute corrupt practice as defined in the United Nations Convention to combat corruption dated 31 October 2003.

4. Eligible /Ineligible Applicants

- 4.1 An Applicant shall be a private, public or government owned legal entity, subject to ITA 4.6, or any combination of them with the formal intent to enter into an agreement or under an existing agreement in the form of a Joint Venture (JV). In the case of a JV, unless otherwise specified in the ADS, (i) all parties shall be jointly and severally liable, and (ii) there will be no limitation on the number of parties.
- 4.2 At the time of bidding, the Bidder shall undertake to:
 - a) comply and ensure that its subcontractors, if any, comply with international environmental and labour standards consistent with applicable law and regulations in the country of implementation of the Project, including the fundamental conventions of the International Labour Organisation (ILO) and international environmental treaties;
 - adopt any environmental and social risk mitigations measures as defined in the environmental and social management plan or the notice of environmental and social impact issued by the Employer
- 4.3 This Invitation for Pre-Qualification is open to all eligible candidates fulfilling the criteria stated in the ADS, subject to the following restrictions.
- 4.4 Applicants shall not have a conflict of interest. Applicants (including all partners of a joint venture and all subcontractors) shall be considered to have a conflict of interest, if they participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of this prequalification.
- 4.5 A firm shall submit only one bid in the same bidding process, either individually as a Bidder or as a partner of a joint venture. No firm can be a subcontractor while submitting a bid individually or as a party of a joint venture in the same bidding process. A firm, if acting in the capacity of Subcontractor in any bid, may participate in more than one bid, but only in that capacity. A Bidder who submits, or participates in, more than one bid will cause all the proposals in which the Bidder has participated to be disqualified.
- 4.6 An Applicant/ Bidder that is under a declaration of ineligibility by the Agency in accordance with ITA Clause 3, at the date of the deadline for bid submission or thereafter, shall be disqualified.
- 4.7 Applicants shall be excluded if they are under international sanction as indicated in the ADS.
- 4.8 Government-owned entities shall be eligible only if they can establish that they are legally and financially autonomous, and operate under commercial law.

Applicants shall provide such evidence of their continued eligibility satisfactory to the Employer, as the Employer shall reasonably request.

5. Eligible Materials, Equipment and Services

5.1 There are no restrictions regarding the country of origin of materials and equipment to be supplied under the Contract and financed by KfW.

B. Contents of Qualification Document		
6. Sections of the Qualification Document	 6.1 The Qualification Document includes all the sections indicated below, and should be read in conjunction with any Addenda issued in accordance with ITA Clause 8. Section I: Instructions to Applicants (ITA) Section II: Application Data Sheet (ADS) Section III: Qualification Criteria (QLC) Section IV: Application Forms (APF) Section V: Scope of Contract (SOC). 6.2 The "Invitation for Pre-Qualification" issued by the Employer is not part of the Qualification Document. 6.3 The Employer accepts no responsibility for the completeness of the Qualification Document and its addenda unless they were obtained directly from the Employer. 	
	6.4 The Applicant is expected to examine all instructions, forms, and terms in the Qualification Document and to furnish all information or documentation required by the Qualification Document.	
7. Clarification of the Qualification Document	7.1 A prospective Applicant requiring any clarification of the Qualification Document shall contact the Employer in writing at the Employer address indicated in the ADS. The Employer will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of Applications. The Employer shall forward copies of its response to all Applicants who have acquired the Qualification Document directly from the Employer including a description of the inquiry but without identifying its source. Should the Employer deem it necessary to amend the Qualification Document as a result of a request for clarification, it shall do so following the procedure under ITA Clause 8 and in accordance with the provisions of Sub-Clause 17.2.	
8. Amendment of Qualification Document	 8.1 At any time prior to the deadline for submission of Applications, the Employer may amend the Qualification Document by issuing addenda. 8.2 Any addendum issued shall be part of the Qualification Document and shall be communicated in writing to all who have obtained the Qualification Document directly from the Employer. 8.3 To give prospective Applicants reasonable time in which to take an addendum into account in preparing their Applications, the Employer may, at its discretion, extend the deadline for the submission of applications. 	
	C. Preparation of Applications	
9. Cost of Applications		

	9.1 The Applicant shall bear all costs associated with the preparation and submission of its application, and the Employer shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.
10. Language of	
Applications	10.1 The application, as well as all correspondence and documents relating to the qualification exchanged by the Applicant and the Employer, shall be written in the English language. Supporting documents and printed literature that are part of the application may be in another language, provided they are accompanied by an accurate translation of the relevant passages into the English language, in which case, for purposes of interpretation of the application, the translation shall govern.
11. Documents	
comprising the Application	11.1 The Application shall comprise the following: (a) Application Submission Sheet, in accordance with ITA Clause 12;
	(b) written confirmation authorizing the signatory of the application to commit the Applicant, in accordance with Section 1, ITA Sub-Clause 15.3;
	(c) documentary evidence establishing the Applicant's eligibility to qualify, in accordance with ITA Clause 13;
	(d) documentary evidence establishing the Applicant's qualifications, in accordance with ITA Clause 14;
	any other document required as specified in the ADS.
12. Application Submission Sheet	12.1 The Applicant shall prepare an Application Submission Sheet using the form furnished in Section IV, Application Forms. This form must be completed without any alteration to its format and order.
13. Documents	
Establishing the Eligibility of the Applicant	13.1 To establish its eligibility in accordance with ITA Clause 4, the Applicant shall complete the eligibility declarations in the Application Submission Sheet and Forms ELI 1.1 and 1.2, included in Section IV, Application Forms.
14. Documents establishing the Qualifications of the Applicant	14.1 To establish its qualifications to perform the contract in accordance with Section III, Qualification Criteria, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV, Application Forms.
15. Signing of the	
Application and	15.1 The Applicant shall prepare one original of the documents comprising
Number of	the application as described in ITA Clause 11 and clearly mark it "ORIGI-
Copies	NAL". The original of the application shall be typed or written in indelible ink
copies	and shall be signed by a person duly authorized to sign on behalf of the Applicant.
	15.2 The Applicant shall prepare the number of copies of the signed original application as indicated in the ADS and clearly mark them "COPY". In the

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	event of any discrepancy between the original and the copies, the original
	shall prevail.
	45.2 The manufactor and the level to the control of the control of
	15.3 The requirements regarding the legal instrument evidencing the au-
	thorization to represent and sign on behalf of the Applicant shall be as spec-
	ified in the ADS. Applications submitted by an existing or intended JV shall
	include an undertaking signed by all partners
	(a) stating that all partners shall be jointly and soverally liable, and
	(a) stating that all partners shall be jointly and severally liable, and
	(b) nominating a Representative who shall have the authority to conduct all
	business for and on behalf of any and all the partners of the JV during
	the qualification process and, in the event the JV is qualified, during the
	bidding process, and in the event the JV is awarded the Contract, during
	contract execution.
	D. Submission of Applications
16. Sealing and	16.1 The Applicant shall enclose the original and the copies of the applica-
Marking of	tion in a sealed envelope which shall
Applications	(a) bear the name and address of the Applicant;
Applications	(b) be addressed to the Employer, in accordance with ITA 17.1; and
	(c) bear the specific identification of this qualification process indicated in
	the ADS 1.1.
	16.2 If the envelope is not sealed and marked as required, the Employer will
	assume no responsibility for the misplacement of the application
17. Deadline for	
Submission of	17.1 Applications shall be received by the Employer at the address and no
Applications	later than the deadline indicated in the ADS.
	17.2 The Employer may, at its discretion, extend the deadline for the sub-
	mission of Applications by amending the Qualification Document in accord-
	ance with ITA Clause 8, in which case all rights and obligations of the Em-
	ployer and the Applicants subject the previous deadline shall thereafter be
	subject to the deadline as extended.
18. Late	
Applications	18.1 Late Applications will be rejected.
19. Opening of	19.1 The Employer shall prepare a record of the opening of Applications
Applications	that shall include, as a minimum, the name of the Applicant. A copy of the
	record shall be distributed to all Applicants.
	E. Evaluation of Applications
	L. Evaluation of Applications
20. Confidentiality	
	Information relating to the evaluation of Applications, and recommendation
	for qualification, shall not be disclosed to Applicants or any other persons
	not officially concerned with the process until the notification of qualifica-
	tion is made to all Applicants.
21. Clarifications of	24.4 To posite in the confustion of Analysis and U.S
Applications	21.1 To assist in the evaluation of Applications, the Employer may, at its dis-
	cretion, ask any Applicant for a clarification of its application which shall be

	submitted within a stated reasonable period of time. Any request for clarification and all clarifications shall be in writing.
	21.2 If an Applicant does not provide clarifications of the information requested by the date and time set in the Employer's request for clarification, its Application may be rejected.
22. Responsiveness	
of Applications	22.1 The Employer may reject any Application which is not responsive to the requirements of the Prequalification Document.
23. Margin of	
Preference	23.1 If so indicated in the ADS, a margin of preference shall apply in the bidding process resulting from this prequalification.
24. Subcontractors	24.1 Applicants shall state in the Application Submission Sheet whether they intend to subcontract parts or elements of the Works. 24.2 If an Applicant intends to subcontract any of the key activities listed in Section III, Qualification Criteria, Criteria 4.2(b), then such key activities and the proposed subcontractors (Specialist Subcontractors) shall be clearly identified in Section IV, Application Forms, Forms ELI-1.2 and EXP-4.2(b). Such Specialist Subcontractor(s) shall meet the corresponding qualification requirements specified in Section III, Qualification Criteria. At the time of bidding, the Bidder shall use in its bid only Specialist Subcontractor(s) also qualified during the qualification exercise. 24.3 Unless otherwise specified in the ADS, the Employer does not intend to execute certain specific parts of the Works by subcontractors selected in advance by the Employer (Nominated Subcontractors).
	F. Prequalification of Applicants
25. Evaluations of	
Applications	25.1 The Employer shall use the criteria and methods defined in Applications Section III, Qualification Criteria to evaluate the qualifications of the Applicants and proposed subcontractors. The use of other factors, methods or criteria shall not be permitted. The Employer reserves the right to waive minor deviations in the qualification criteria if they do not materially affect the capability of an Applicant to perform the contract.
	25.2 Only the qualifications of proposed subcontractors that have been identified in the Application pursuant to ITA 24.2 will be considered in the evaluation of an Applicant. However, the general experience and financial resources of subcontractors may not be added to those of the Applicant for purposes of qualification of the Applicant.
	25.3 Unless otherwise indicated in the ADS, this qualification shall be for a single contract. In case of multiple contracts, the Employer shall prequalify each Applicant for the maximum number and types of contracts for which the Applicant meets the appropriate aggregate requirements of such contracts, as specified in Section III, Qualification Criteria.

26. Employer's			
Right to Accept	26.1 The Employer reserves the right to accept or reject any Application,		
or Reject	and to annul the qualification (and tender) process and reject all applica-		
Applications	tions at any time, without thereby incurring any liability to Applicants.		
27. Qualification of			
Applicants	27.1 All Applicants, including their proposed subcontractors, whose applica-		
Applicants	tions have been determined to be substantially responsive to the require-		
	ments of the Qualification Document and who have met or exceeded the		
	specified criteria shall be qualified by the Employer.		
28. Notification of	specified differing strain are qualified by the Employer.		
	28.1 Once the Employer has completed the evaluation of the applications		
Qualification	he shall notify all Applicants in writing of the names of those applicants who		
	have been prequalified.		
20 Invitation to Did	nave been prequaimed.		
29. Invitation to Bid	29.1 After the notification of the results of the prequalification, the Em-		
	, ,		
	ployer shall invite bids from all the Applicants that have been prequalified.		
	29.2 Bidders may be required to provide a bid security in the form of a de-		
	, , , , , , , , , , , , , , , , , , , ,		
	mand guarantee or other security acceptable to the Employer for an		
	amount as specified in the bidding document.		
	29.3 A qualified firm or a member of a qualified joint venture may partici-		
	pate in only one bid for the contract. If a firm submits more than one bid,		
	singly or in joint venture, all bids including that firm will be rejected. How-		
	ever, this does not limit the inclusion of the same subcontractor, not other-		
	wise participating as a Bidder, in more than one bid.		
30. Changes in			
Qualifications of	30.1 Any change in the qualification status of an Applicant after being		
Applicants	prequalified in accordance with ITA Clause 27 shall be subject to the written		
	approval of the Employer. Such approval shall be denied if as a consequence		
	of any change,		
	(a) the prequalified Applicant, after the change, no longer substantially		
	meets the qualification criteria set forth in Section III, Qualification Criteria;		
	or		
	(b) a new partner that had not been prequalified as an Applicant or a Spe-		
	cialist Subcontractor as per ITA 24.2 is added to a prequalified Applicant.		
	or		
	(c) if in the opinion of the Employer, a substantial reduction in competition		
	may result.		
	30.2 Any such change shall be submitted to the Employer not later than		
	fourteen (14) days after the date of the Invitation to Bid.		
	Tourteen (17) days after the date of the invitation to bia.		

Section II: Application Data Sheets

Section II: Application Data Sheets

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A. General

	Applicants can apply for a single LOT or for the following combinations of this Tender Package 3:
	Lot 1 + 2
	Lot 1 + 3
	Lot 2 + 3
	Lot 1 + 2 + 3
ITA 1.1	
	The name of the Employer is the <i>Municipality of Batumi</i> .
ITA 1.1	The identification number of the ICB is
	For Lot 1: KFW/BMZ 2011 66 719/Batumi/PH III/C 3/lot 1
	For Lot 2: KFW/BMZ 2011 66 719/Batumi/PH III/C 3/lot 2
	For Lot 3: KFW/BMZ 2011 66 719/Batumi/PH III/C 3/lot 3
	For Lot 1+2: KFW/BMZ 2011 66 719/Batumi/PH III/C 3/lot 1+2
	For Lot 1+3: KFW/BMZ 2011 66 719/Batumi/PH III/C 3/lot 1+3
	For Lot 2+3: KFW/BMZ 2011 66 719/Batumi/PH III/C 3/lot 2+3
	For Lot 1+2+3: KFW/BMZ 2011 66 719/Batumi/PH III/C 3/lot 1+2+3
ITA 2.1	The name of the Project is: Rehabilitation of Municipal Water Supply and Wastewater Infrastructure in Batumi – Phase III
ITA 4.1	In the case of a JV, all parties to the JV shall be jointly and severally liable.

B. Contents of the Prequalification Document

ITA 7.1	For clarification purposes only, the Employer address is:
	Attention:
	Mr. Jaba Tugushi, Deputy Head of Department
	Property Management and Economic Policy Department
	25 Luka Asatiani Str.
	City: Batumi
	ZIP Code: 6010
	Country: Georgia
	Telephone/Fax:+995222 72607
	Electronic mail address: batumiproject@gmail.com copy to
	<u>kathrin.prasse@kfw.de</u> and to the Consultant c <u>onsultant.egisbatumi@gmail.com</u> ; <u>boris.jovkov@googlemail.com</u>
	Requests for clarification should be directed to the Employer exclusively by e-mail

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and will be replied to by e-mail.	

C. Preparation of Applications

ITA 11.1 (a)	The Application must clearly state for which LOT or LOTs it refers to.
ITA 11.1 (e)	The Applicant shall submit with its application the following additional documents:
	(a) KfW Form for "Declaration of Undertaking"

ITA 15.2	In addition to the original, the number of copies to be submitted with the application is: 3 One of the 3 copies shall be directly submitted to KfW Frankfurt.
ITA 15.3	The requirements regarding the legal documents evidencing the authorization to represent and sign on behalf of the Applicant shall be: A "Power of Attorney" shall be submitted for the named "Representative".

D. Submission of Applications

ITA 16.1	Add (d): Indicate the LOT(s) for which the applicant intends to qualify
ITA 17.1	For application submission purposes, the Employer's address is: Submit the original and two copies to: Batumi Municipality City Hall Mayor of Batumi Mr. Georgi Ermakovi 25 Luka Asatiani Str. Batumi ZIP Code: 6010 Country: Georgia Telephone: +995422 272607 Electronic mail address: batumiproject@gmail.com, jaba.tugushi@gmail.com, j.tugushi@batumi.ge The deadline for application submission is: Date: 29th August 2017 Time: 4:00 pm
	Submit the third copy simultaneously to: KfW Development Bank Dept LEe-3 Attn. Ms Kathrin Prasse Palmengartenstr. 5-9 60325 Frankfurt am Main Federal Republic of Germany The deadline only applies for submission to the Employer

E. Evaluation of Applications

ITA 23.1	No margin of preference shall apply in the bidding process corresponding to this Qualification.
ITA 24.2	The onsite labour works shall be carried out in the majority by the Applicant's/ all partners of the JV's own resources, under no circumstances shall more than 30% of these works be carried out by subcontractors. The Applicant agrees that the following percentage of the cost of contract performance will be incurred by the Applicant/all partners of the JV: At least 70 percent of the cost of contract performance incurred for personnel on the construction site shall be expended for employees of the Applicant/all partners of the JV, not subcontractors. Burden of proof is with the Applicant at any time. Deviations shall be deemed as a contractor's breach under the contract.
ITA 24.3	The Employer does not intend to execute certain specific parts of the Works by Sub-contractors selected in advance, nominated Subcontractors.
ITA 25.3	If the prequalification is for multiple contracts, the methods and qualification criteria to determine the combination of contracts for which the Applicant will prequalify shall be as specified in Section III, Qualification Criteria.

F. Prequalification of Applicants

ITA 26.2	Add the following paragraph:
	The Employer reserves the right to:
	a) Amend the scope of the Lots (detailed information will be given in the Tender Documents
	b) Reject or accept any application.
	 c) Cancel the prequalification process and reject all applications. d) Cancel the prequalification process for any single lot or combination while accepting the results of the prequalification process for other Lots or combinations e) Prequalify applicants only for one Lot, even if they had applied for one of the given combinations

Section III: Qualification Criteria

Section III: Qualification Criteria

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1. Eligibility

1.1 Conflict of Interest

Criteria	Requirements			Documents	
	Single En-	Joi	nt Venture	!	
		All Partners	Each	One	Submission of
		Combined	Partner	Partner	
No conflicts of interest in accordance with ITA Sub-	must	existing or	must	not	application
clause 4.4.	meet	intended JV	meet	applicable	Submission
	require-	must meet	require-		Sheet
	ment	require-	ment		
		ment			

1.2 Eligibility

Criteria	Requirements			Documents	
	Cinala Fa	Joi	int Venture		
	Single En- tity	All Partners	Each	One	Submission of
	,	Combined	Partner	Partner	
Not having been declared	must	existing or	must	not	Application
ineligible as described in ITA Sub-Clauses 4.2, 4.4	meet	intended JV	meet	applicable	Submission
and 4.5.	require-	must meet	require-		Sheet
	ment	require-	ment		
		ment			

1.3 Bank Eligibility

The applicants shall provide evidence for having free* credit lines as follows:

- 1) LOT 1 not less than 1.2 million Euro
- 2) LOT 2 not less than 0.8 million Euro
- 3) LOT 3 not less than 1.0 million Euro

And for the combinations

- 4) LOT 1 + 2 not less than 2.0 million Euro
- 5) LOT 1 + 3 not less than 2.1 million Euro
- 6) LOT 2 + 3 not less than 1.8 million Euro
- 7) LOT 1 + 2 + 3 not less than 3.0 million Euro

Applicants with lower available credit capacities cannot qualify.

^{*}Note: "free credit line" means; credit line or part of an established credit line not used for other purposes!

1.4 Government-owned Entity

Criteria	Requirements			Documents	
	Cinala Fu	Joi	nt Venture		
	Single En- tity	All Partners	Each	One	Submission of
		Combined	Partner	Partner	
Applicant is required to comply with conditions of	must	existing or	must	not	Application
ITA Sub-Clause 4.6.	meet	intended JV	meet	applicable	Submission
	require-	must meet	require-		Sheet
	ment	requirement	ment		

2. Pending Litigation

2.1 Pending Litigation

Criteria	Requirements			Documents	
	Cincia En	Joint Venture			
	Single En-	All Partners	Each	One	Submission of
	,	Combined	Partner	Partner	
All pending litigation shall be treated as resolved	must	existing or	must	not	Application
against the Applicant and so shall in total not rep-	meet	intended JV	meet	applicable	Submission
resent more than 60 percent of the Applicant's net	require-	must meet	require-		Sheet
worth.	ment	requirement	ment		

3. Financial Situation

Criteria	Requirements				Documents
	Simple Fo	Joint Venture			
	Single En- tity	All Partners	Each	One	Submission of
	,	Combined	Partner	Partner	

3.1 Historical Financial Performance

Submission of audited balance sheets and income statements or, if not required by the law of the ap-	must	not	must	not	Form FIN - 3.1
plicant's country, other financial statements ac-	meet	applicable	meet	applicable	with attach-
ceptable to the Employer, for the last 3 years to demonstrate the current soundness of the applicants financial position and its prospective long-term profitability. As a minimum, an Applicant's net worth calculated as the difference between total assets and total liabilities should be positive.	require- ment	Sppeas.c	require- ment	оррсож.е	ments

The financial information provided by an Applicant will be reviewed in its entirety to allow a truly informed judgment, and the pass—fail decision on the financial position of the Bidder should be given on this basis. Any abnormal features, which may lead to financial problems, will alert the Employer to seek expert professional advice for further review and interpretation.

3.2 Average Annual Construction Turnover

Criteria	Requirements				Documents
	Cinala*	Joi	nt Venture		
	Single* Entity	All Partners Combined	Each Partner	Lead Partner	Submission of
Minimum average annual construction turnover in EURO calculated as total certified payments received for contracts in progress or completed, within the last 3 years.	must meet re- quire- ment	must meet requirement	not less than	not less than	Form FIN - 3.2
Lot 1: Min. 12 million Euro	100%	100%	33%	66%	
Lot 2: Min. 8 million Euro	100%	100%	33%	66%	
Lot 3: Min. 10 million Euro	100%	100%	33%	66%	
Lot 1 + 2: Min 20 million Euro	100%	100%	20%	50%	
Lot 1 + 3: Min 22 million Euro	100%	100%	20%	50%	
Lot 2 + 3: Min. 18 million Euro	100%	100%	20%	50%	
Lot 1 + 2 + 3: Min 30 million Euro	100%	100%	10%	50%	

4. Experience (minimum score is 50%)

4.1 General Construction Experience

Criteria		Requirem	ents		Documents
		Jo	int Venture	1	
	Single Entity*	All Partners*	Each Partner	One Partner	Submission of
		Combined	raitilei	raitilei	
Experience with construction contracts in similar environment, in the role of contractor, partner, subcontractor, or management contractor in the last 5 years before the deadline for submission of the Application. Contracts similar to the planned works, which were successfully completed. The similarity is related to "Urban Drainage Infrastructure" and physical size, complexity, methods, technology and other characteristics as shown in Section 5. 1) At least 2 references for projects in East Europe and West/ Central Asia 2) At least 1 reference for a project with a FIDIC Standard Form of Contract, (FIDIC Red or Yellow Book)	Max. 4 points per project Max 20 points Max 5 references Min 50%	Max. 4 points per project Max 20 points Max 5 references Min 50%	No particular require- ment	No particular require- ment	Form EXP-4.1
Applies for all LOTs and the <u>allowed</u> combinations and accordingly Form EXP-4.1 has to be filled. Volume of the contract share of the Applicant: minimum of 20% of the figures given in 3.2 for the minimum average annual turn-over as follows: LOT 1: Min. 2.4 million Euro LOT 2: Min. 1.6 million Euro LOT 3: Min 2.0 million Euro LOT 1 + 2: Min. 4.0 million Euro LOT 1 + 3: Min. 4.4 million Euro LOT 1 + 3: Min. 6.0 million Euro					

4.2 Specific Construction Experience in Technical Key Activities

Criteria		Requiren	nents		Documents
Please Note: For all Technical Key Activities a Single Entity or a Joint Venture must present at least one acceptable Project Reference All technical references my not be older than 5 years Clear evidence of successful completion of works must be submitted, e.g. Client reference letter, etc. Otherwise the project reference cannot be considered in the evaluation. For the above or other contracts executed during the last 5 years, a minimum construction experience in the following key activities: Contracts completed, each with a volume of a minimum of 15% of the figures given in 3.2 for the average annual turn-over. 15% values are as follows: LOT 1: Min. 1.8 million Euro LOT 2: Min. 1.2 million Euro LOT 3: Min 1.5 million Euro LOT 1 + 2: Min. 3.0 million Euro LOT 1 + 3: Min. 3.3 million Euro LOT 1 + 2 + 3: Min. 4.5 million Euro Contract conditions according to FIDIC will be of advantage	Single Entity	All Partners Combined	Each Partner	One Partner	Submission of

LOT	1					
1.	Implementation of stormwater pumping stations. One single contract must include the implementation of 1 stormwater pumping stations with a capacity of 1.0 m³/s at least. A second contract must include the implementation of 1 retention basin with a volume of at least 500 m³.	Max 4 points per project Max 12 points and 3 references	Max 4 points per project Max 12 points and 3 references	no particular require- ment	no particular re- quire- mnt	Form EXP-4.2
"Te	nimum Score for echnical Key Activities" Lot 1: x 12 points, Min 6	Min 6 points	Min 6 points			

LOT	2					
1.	Implementation of stormwater collectors. One single contract must include the implementation of at least 1.0 km stormwater collectors, rectangular.	4 points per project Max. 12 points and 3 references	4 points per project Max. 12 points and 3 refer- ences	no particular requirement	no particular re- quirement	Form EXP-4.2
"Te	nimum Score for chnical Key Activities" Lot 2: x 12 points, Min 6	Min 6 points	Min 6 points			

LOT	3					
1.	Rehabilitation of stormwater collectors. One single contract must include the implementation of at least 0.5 km Stormwater	Max 4 points per project	Max 4 points per project	no particular requirement	no particular re- quirement	Form EXP-4.2
	Pipe with ND 1000.	Max. 12 points and 3 refer- ences	Max.12 points and 3 refer- ences			
"Te	nimum Score for chnical Key Activities" Lot 3: x 12 points, Min 6	Min 6 points	Min 6 points			

5. Resources (minimum score is 70%)

Applies for all LOTs and the given combinations

5.1 Human Resources

(a) an (b) Overview of Applicant's Personnel

Criteria	Requirements			Documents	
		Jo	int Venture		
	Single Entity	All Partners	Each	One	Submission of
		Combined	Partner	Partner	
Minimum Requirement:	Maximum 10	Maximum 10	no	no	Form EXP-
The Applicant's personnel structure must be	points	points	particular	particular	5.1(a)
suitable to handle the required Work Sections			require-	require-	Form EXP-
			ment	ment	5.1(b)
 Organisational structure of the company (ies) 					
Separate list of personnel (permanent and					
temporary employees) with details on educa-					
tion and professional experience relevant for					
the project					
Separate list of Proposed key personnel					
available for the Contract					

(c) Personnel to be employed on the Contract

Total Score for 5.1 (c): Personnel to be employed on the Contract" is based on the estimated required personnel as shown in Table 3-1 hereafter, which also indicates for Form 5.1.c Curriculum Vitae, Max / Min Scores for each Lot and the combinations.

Criteria	Requirements				Documents
		Jo	int Venture	<u> </u>	
	Single Entity	All Partners	Each	One	Submission of
		Combined	Partner	Partner	
Minimum Requirements:	Max	Max	No	No	Form
1) The Contractor must propose a qualified Con-	10 points	10 points	particular	particular	EXP-5.1(c)
tractor's Representative / Project Manager			require-	require-	
BSc (or higher) as Civil Engineer and 15 years of pro-	Min. 70%	Min. 70%	ment	ment	
fessional experience in construction management;					
Managed at least 2 projects of similar size and na-					
ture during the last 10 years;					
Good knowledge of FIDIC Standard Forms of Con- tract:					
tract; • At least one project with FIDIC contract similar size					
and nature during the last 6 years;					
 Excellent English (speaking and writing). 					
Executive English (speaking and writing).					
2) The Contractor must have in its staff qualified	Max 6	Max 6	No	No	Form
Site Manager(s). Proposals regarding number	points per	points per CV	particular	particular	EXP-5.1(c)
and qualification shall be made by the Applicant	CV	perior per er	require-	require-	
as deemed required for the successful imple-			ment	ment	Lot 1 to 3
mentation of all works. The candidates shall	Max points	Max points			and all twin
have	depend on	depend on no.			combinations
BSc (or higher) as Civil Engineer and least 8 years of	no. of site	of site manag-			1 site man-
professional experience as Site Manager;	managers	ers required			ager;
 at least 2 projects of similar size and nature; 	required	acc.			the triple
• good knowledge of construction methods, technol-	acc.	Table 3-1 be-			combination
ogies and standards;	Table 3-1	low			2 site man-
 Good knowledge of contract conditions and tech- 	below				gers
nical specifications;					
 Good English (speaking and writing). 					
3) The Contractor must have in its staff qualified	Max 2	Max 2 points	No partic-	No particu-	Form EXP-
Foreman. Proposals regarding number and qual-	points per	per CV	ular re-	lar require-	5.1(c)
ification shall be made by the Applicant as	CV		quire-	ment	
deemed required for the successful implemen-			ment		
tation of all works.	Max points	Max points			
The condidates would be :-	depend on	depend on no.			
The candidates must have	no. of fore-	of foremen re-			
at least 10 years of professional experience as Fore-	men re-	quired			
man;	quired acc. Table 3-1	acc. Table 3-1 be-			
at least 2 projects for the construction of water sup- by and wastowater infractructure:	below	low			
ply and wastewater infrastructure;	DCIOW	10 00			
 good knowledge of construction methods, technologies, specifications and standards. 					
ogies, specifications and standards.]				

Stormwater Works – Pumping station and associated basins, Collectors and Channels

3.1) Foremen for pipe laying with proven experience in pipe laying, general construction works with high groundwater levels	Scores acc. to line 3 above	Scores acc. to line 3 above		
3.2) Foremen for concrete works with proven experience in construction of water tight concrete structures	Scores acc. to line 3 above	Scores acc. to line 3 above		
4) Electromechanical Engineers with proven experience in installation of electrical and mechanical equipment for water supply and sewage infrastructures, only for Lot 1 and the combinations with Lot 1.	Max. 4 points per CV	Max. 4 points per CV		
5) Quality Manager, only for Lot 1 and the combination with Lot 1.	Max. 4 points per CV	Max. 4 points per CV		

Table 3-1: Minimum required number of experts for each category for the Lots and Combination and Max / Min. scores for criteria 5.1 (c): Personnel to be employed on the Contract

Position/ Lot	Project Mana- ger (1)	Site Mana- ger (2)	Fo- reman for pipe laying (3.1)	Foreman for concrete works (3.2)	E&M Engi- neer (4)	Quality Manager (5)	Total Score for 5.1 (c): Personnel to be em- ployed on the Con- tract"
	No/ Max Score	No/ Max Score	No/ Max Score	No/ Max Score	No/ Max Score	No/ Max Score	Max / Min
Lot 1	1/10	1/6	-	1/2	1/4	1/4	26 / 18.2
Lot 2	1/10	1/6	-	1/2	-		18 / 12.6
Lot 3	1/10	1/6	1/2	1/2	i		20 / 14.0
Lot 1+2	1/10	1/6	-	2/4	1/4	1/4	28 / 19.6
Lot 1+3	1/10	1/6	1/2	2/4	1/4	1/4	30 / 21.0
Lot 2+3	1/10	1/6	1/2	2/4	-	_	22 / 15.4
Lot 1+2+3	1/10	2 / 12	1/2	3/6	1/4	1/4	38 / 26.6

5.2 Applicant's Equipment

Criteria		Documents			
	Single En-	Jo	int Venture	1	Submission
	tity	All Partners Combined	Each Partner	One Partner	of
a) The Applicant shall demonstrate that he has the knowledge of the type and quantity of equipment required to perform the works in question by applying most suitable equipment and state-of-the-art technologies in order to ensure that all quality requirements according to international standards can be complied with.		Maximum 3 points	No particular require- ment	No particular require- ment	Form EXP 5.2
b) The Applicant shall demonstrate that he is in the possession of all required equipment, (list of main equipment and the respective use), or that he has the ability to obtain it, e.g. leasing it for the works. Sufficient information on the respective sources has to be included in the application.	Maximum 3 points	Maximum 3 points	No particu- lar require- ment	No particular require- ment	Form EXP 5.2
Max./ Min. Scores for "5.2 Equipment": Max. 6 points; Min. 4.2	Min 70%	Min 70%			

6. Minimum Requirements to Qualify

An Applicant must meet the minimum requirements for the Qualification Criteria "1. Eligibility; 2. Pending Litigation and 3. Financial Situation".

With regard to the Criteria 3.2 "Average Annual Construction Turn-over" the requirements for all joint venture partners are specified in Chapter 3.2 above.

With regard to the Qualification Criteria "4. Experience and 5. Resources" only Applicants who receive 70% of the total maximum points (as indicated in tables) will be qualified.

Applicants must meet both the indicated minimum points (where defined) for each criteria, and 70% of the total maximum points for all criteria.

7. Evaluation Grid

The following screening system will be used for each criteria.

a) Eligibility

The qualification documents of the Applicants will be evaluated according to fulfilment of the defined requirements. If the requirements cannot be met, the Applicant cannot be qualified.

b) Pending Litigation

The qualification documents of the Applicants will be evaluated according to fulfilment of the defined requirements. If the requirement cannot be met, the Applicant cannot be qualified.

c) Financial Situation

The qualification documents of the Applicants will be evaluated according to fulfilment of the defined requirements. If the requirement cannot be met, the Applicant cannot be qualified.

c) Scores for Experience and Resources

Table 3-2 presents the complete overview on the maximum and minimum scores for the qualification criteria 4. Experience and 5. Resources for all Lots and the combinations. It is stated:

- That the minimum for the totals is always 70 % of maximum points;
- That for some individual criteria also a lower score e.g. 50% is sufficient to qualify;
- That non-compliance with indicated minimum scores for individual criteria the application will not allow qualification.

Table 3-2: Maximum and Minimum Scores for Experience and Resources

Lot	4.1 General Experi- ence	4.2 Specific Experi- ence	5.1 a+b Human Resources Overview	5.1 c Personnel for the Contract	5.2 Equipment	Grand Total Experience and Resources
	Max / Min	Max / Min	Max / Min	Max / Min	Max / Min	Max / Min
Lot 1	20 / 10	12 / 6	10 / 7	26 / 18.2	6 / 4.2	74 / 45.4
Lot 2	20 / 10	12 / 6	10 / 7	18 / 12.6	6 / 4.2	66 / 39.8
Lot 3	20 / 10	12 / 6	10 / 7	20 / 14.0	6 / 4.2	68 / 41.2
Lot 1+2	20 / 10	24 / 12	10 / 7	28 / 19.6	6 / 4.2	88 / 52.8
Lot 1+3	20 / 10	24 / 12	10 / 7	30 / 21.0	6 / 4.2	90 / 54.2
Lot 2+3	20 / 10	24 / 12	10 / 7	22 / 15.4	6 / 4.2	82 / 48.6
Lot 1+2+3	20 / 10	36/ 18	10 / 7	38 / 26.6	6 / 4.2	110 / 65.8

Note: "+" = Full individual compliance for all Lots of the combination required and scores are added up accordingly

Section IV: Application Forms

Section IV: Application Forms

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requirements of ITA sub-clause 4.6.

1. Application Submission Sheet for Pre-Qualification

			100.11			
To:						
We,	the undersigned, apply to be qu	ualified for the referenc	ed ICB(s)			
and	declare the following.					
(a)		Ve have examined and have no reservations to the Pre-qualification Documents, including Ad-				
(b)						
(c)	qualification, do not have any conflict of interest in accordance with ITA Sub-Clause 4.4. We, including any subcontractors or suppliers for any part of the contract(s) resulting from this qualification, have not been declared ineligible by KfW or any other international Donor. No sanctions by the UN Security Council have been imposed on us.					
(d)	We are not a government-own	ed entity. (1)		will be incurred by the		
(e)	We agree that the following percentage of the cost of contract performance will be incurred by the Applicant/all partners of the JV:					
	At least 70 percent of the cost of contract performance incurred for personnel on the construction site shall be expended for employees of the Applicant/all partners of the JV, not subcontractors					
(f)	We plan to subcontract the following	owing key activities or	parts of the works:			
(g) We declare that the following commissions, gratuities, or fees have been paid or are to be with respect to the pre-qualification process:						
	Name of Recipient	Address	Reason	Amount		
	(If none has been paid or is to be pai	d, indicate "none.")				
(h)	We understand that you may cancel the Pre-qualification Process at any time and that you are no bound to accept any bid, without incurring any liability to the Applicants, in accordance with ITA Clause 26.					
Nan	ne					
In th	ne capacity of					
Sign	ed					
Duly	authorized to sign the Applicat	ion for and on behalf o	f			
Date	2					
(1)	Government-owned entities must replace n	ara (a) with the following states	nont: "Wo are a government o	awand antity but most the		

2. Declaration of Undertaking

We underscore the importance of a free, fair and competitive procurement process that precludes abusive practices. In this respect we have neither offered nor granted directly or indirectly any inadmissible advantages to any public servant or other person nor accepted such advantages in connection with our bid, nor will we offer or grant or accept any such incentives or conditions in the present procurement process or, in the event that we are awarded the contract, in the subsequent execution of the contract. We also declare that no conflict of interest exists in the meaning of the kind described in the corresponding Guidelines¹.

We also underscore the importance of adhering to environmental and social standards in the implementation of the project. We undertake to comply with applicable labour laws and the Core Labour Standards of the International Labour Organization (ILO) as well as national and applicable international standards of environmental protection and health and safety standards.

We will inform our staff about their respective obligations and about their obligation to fulfil this declaration of undertaking and to obey the laws of the country of Georgia.

We also declare that our company/all members of the consortium has/have not been included in the list of sanctions of the United Nations, nor of the EU, nor of the German Government, nor in any other list of sanctions and affirm that our company/all members of the consortium will immediately inform the client and KfW if this situation should occur at a later stage.

We acknowledge that, in the event that our company (or a member of the consortium) is added to a list of sanctions that is legally binding on the client and/or KfW, the client is entitled to exclude our company/the consortium from the procurement procedure and, if the contract is awarded to our company/the consortium, to terminate the contract immediately if the statements made in the Declaration of Undertaking were objectively false or the reason for exclusion occurs after the Declaration of Undertaking has been issued.

(Place)	(Date)	(Name of company)
(Signature(s))		

¹ See "Guidelines for the Assignment of Consultants in Financial Cooperation with Partner Countries" and "Guidelines for Procurement of Goods, Works and associated Services in Financial Cooperation with Partner Countries"

3. Form ELI – 1.1: Applicant Information Sheet

	ICB No	D.:	
	Page	pages	
The Applicant shall fill one Form			
Applicant Information			
Applicant's legal name			
In case of JV, legal name of each member			
Applicant's country of constitution			
Applicant's year of constitution			
Applicant's legal address in country of constitution			
Applicant's authorized representative			
(name, address, telephone			
numbers, fax numbers, e-mail address)			
Attached are copies of the following original documents:			
☐ 1. In case of single entity, articles of incorporation or constitution of the legal entity named above, in			

Date:

Authorized signature: ______

Name and function of the signatory: ______

Date of signing: _____/____

☐ 2. Authorization to represent the firm or JV named in above, in accordance with ITA 4.1.

In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.1.

In case of a government-owned entity, any additional documents not covered under 1 above

accordance with ITA 4.1 and 4.2.

required to comply with ITA 4.6.

□ 3.

□ 4.

4. Form ELI – 1.2: JV Information Sheet

Date:	
ICB No.:	
Page	pages

Each member of a JV and Specialist Subcontractors must fill in this form

JV / Specialist Subcontractor Information		
Applicant's legal name		
JV Partner's or Subcontractor's legal name		
JV Partner's or Subcontractor's country of constitution		
JV Partner's or Subcontractor's year of constitution		
JV Partner's or Subcontractor's legal address in country of constitution		
JV Partner's or Subcontractor's authorized representative information (name, address, telephone numbers, fax numbers, e-mail address)		
Attached are copies of the following origin	nal documents.	
1. Articles of incorporation or constitution	on of the legal entity named above, in accordance with ITA Sub-Clauses 4.1 and 4.2.	
2. Authorization to represent the firm na	amed above, in accordance with ITA Sub-Clause 4.1.	
3. In the case of government-owned ent law, in accordance with ITA Sub-Claus	ity, documents establishing legal and financial autonomy and compliance with commercial e 4.6.	

5.	Form LIT -	- 1: Pending Litigation			
App JV F	licant's Legal N Partner Legal N	ame:ame:		s).:of .	
Eacl	n Applicant or ı	member of a JV must fill in this form			
		Pending Litigation			
	☐ No pendi	ng litigation in accordance with Criteria 2.1 of	f Section	3.1	
	☐ Pending I	itigation in accordance with Criteria 2.1 of Se	ction 3.1,	as indicated b	elow
	Year	Matter in Dispute		Value of Pending Claim in EURO Equivalent	Value of Pending Claim as a Percentage of Net Worth

Form FIN – 3.1: Financial Situation 6.

Applicant's Legal Name:

JV Partner Legal Name:		ICB No(s).:	
		Page .	pages
Each Applicant or member	er of a JV must fill in this	form	
	Fir	nancial Data for Previous	3 Years
		[EURO Equivalent]	
	Year 1:	Year 2:	Year 3:
Information from Balance	e Sheet		

Date:

Total Assets		
Total Liabilities		
Net Worth		
Current Assets		
Current Liabilities		

Information from Income Statement

Total Revenues		
Profits Before Taxes		
Profits After Taxes		

Attached are copies of financial statements (balance sheets including all related notes, and income statements) for the last three years, as indicated above, complying with the following conditions. All such documents reflect the financial situation of the Applicant or partner to a JV, and not sister or parent companies.

Historic financial statements must be audited by a certified accountant.

Historic financial statements must be complete, including all notes to the financial statements. Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

7. Form FIN – 3.2: Average Annual Construction Turnover

Applicant's Legal Name:	 Date:	
JV Partner Legal Name:	 ICB No(s).:
	Page	pages

Each Applicant or member of a JV must fill in this form

Annual Turnover Data for the Last 3 Years (Construction only)			
	Amount	Exchange	EURO
Year	Currency	Rate	Equivalent
Average Ann	ual Construction Turnover		

The information supplied should be the annual turnover of the Applicant or each member of a JV in terms of the amounts billed to clients for each year for work in progress or completed, converted to EURO at the rate of exchange at the end of the period reported.

.....

ICB No(s)

8. Form EXP – 4.1: General Construction Experience

Applicant's Legal Name:

JV Partner Legal Name:

Note: The "Employers Certificates" for each reference presented is to be provided; otherwise the respective reference will not be considered during evaluation.

Date:

Subcontractor's Legal Name: Page ofpages					
One form per contract to be submitted					
Contracts	Contracts with Specific Construction Experience in Technical Key Activities				
Contract No of	Contract Identification				
Award Date		Completion Date			
Role in Contract	☐ Contractor	☐ Management Con- ☐ Subcontractor tractor			
Total Contract Amount	EURO				
If partner in a JV or sub- contractor, specify par- ticipation of total con- tract amount	Percent of Total	Amount			
Employer's Name Address Telephone Number Fax Number E-mail					
Desc	ription of the key activities in acc	ordance with Chapter 4.2 of Section 3			

JV Partner Legal Name:

.....

Applicant's Legal Name:

9. Form EXP – 4.2: Specific Construction Experience Technical Key Activities

Date:

ICB No(s).:

Subcontractor's Legal Name: .		Page ofpages	
One form per contract to be submitted.			
	Contract of Simila	ar Size and Nature	
Contract No of	Contract Identification		
Award Date		Completion Date	
Role in Contract	☐ Contractor	☐ Management Contractor	
Total Contract Amount	EURO		
If partner in a JV or subcon- tractor, specify participa- tion of total contract amount	Percent of Total	Amount	
Employer's Name Address Telephone/Fax Number E-mail			
Descrip	ption of the similarity in accord	dance with Criteria 4.2(a) of Section 3	

Note: The "Employers Certificates" for each reference presented is to be provided; otherwise the respective reference will not be considered during evaluation.

10. Form EXP – 5.1(a): Overview of Applicant's Personnel

Applicant's Legal Name:	Date: ICB No(s).: Page ofpages
Each Applicant or member of a JV must fill in this form. Each speciali	zed Subcontractor must fill this form.
i - Organisational Structure of the Company (-ies)	
ii Overview on the Contractor's permanent personnel	
a - Directors and management	
b - Administrative staff	
c - Technical staff	
- Engineers	
- Surveyors	
- Foremen	
- Mechanics	
- Technicians	
- Machine operators	
- Drivers	
- Other skilled staff	
- Labourers and unskilled staff	
Total	

iii - Organisational Structure of the JV, if any

Applicant's	Legal Name:	Date:
Each Applica	ant must fill in one form for each LOT of the Applicati	on
Site operativ	res to be employed on the contract separate for each	LOT of the Application
a -	Site management	
b -	Administrative staff	
c -	Technical staff	
	- Engineers	
	- Surveyors	
	- Foremen	
	- Mechanics	
	- Technicians	
	- Machine operators	
	- Drivers	
	- Other skilled staff	
	- Laborers and unskilled staff	

LIST OF PERSONNEL TO BE EMPLOYED ON THE CONTRACT							
POSITION	NUMBER	TOTAL EXPERIENCE (years)	IN SAME POSITION (years)	FULL TIME POSITION REQUIRED (minimum key positions / plus proposals of the Applicant?)			
Project Manager				х			
Site Manager				х			
Foreman				х			
Electromechanical Engineer (only for Lot 1 and combinations with Lot 1)							
Quality Control Manager (only Lot 1 and combinations with Lot 1)				х			

Signature	e:
(person	or persons authorised to sign on behalf of the Applicant)
Date:	

12.	Form EXP – 5.1(c): Template for CVs of Key-Personnel to be employed on
	the Contract, minimum as indicated Form 5.1(b) above, or proposed by
	the Applicant

Applicant's Legal Name:		Date: ICB No(s):ofpages
 Proposed Position Family name: First names: Date of birth: Nationality: Civil status: Education: 		
Institution:		
Date: from (m/y):		
to (m/y):		
Degree(s) or Diploma(s)	obtained:	
Institution:		
Date: from (m/y):		
to (m/y):		
Degree(s) or Diploma(s	obtained:	

7. Language skills

Language	Reading	Speaking	Writing

1 basic, 2 fair, 3 good, 4 excellent

- 8. Present position
- 9. Years of employment with the APPLICANT
- 10. Key qualifications (relevant to proposed position)

11.	Experience	in	Chacific	Countries
II.	experience	111	Specific	Countries

Country	Date:	from (m/y)	to (m/y) period of stay

14. Professional experience record

Date: from (m/y)	
to (m/y)	
Location	
Company	
Position	
Description of duties	

from (m/y))		
to (m/y)			
on of duties	S		
on of duties	S		

15. Others

Publications etc.

	13.	Form	EXP -	- 5.2:	Ap	plicant	's Ec	quipmer	١t
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Applicant's Legal Name:	 Date:	
JV Partner Legal Name:	 ICB No(s).:
	Page	pages

Equipment **proposed and available** for the performance of the contract:

- Describe each individual <u>major item</u> of equipment that you propose to deploy on the respective LOT. The equipment listed is to be assigned exclusively to the LOT for as long as required and must not form part of a pool of equipment used on another LOT or another Contract.
- In the case of a Joint Venture/Consortium, only one list is required, but the name of the partner owning particular items must be stated as applicable.
- The list may be expanded by the Applicant, if required.

	DESCRIPTION (type/make/model)	Power/ ca- pacity	No of units	Age (years)	Owned by (O) or hired (H)/ and percentage of ownership	Origin (country)	Present approxi- mate value in Euro
A)	CONSTRUCTION EQUIP-						
					/		
					/		
					/		
					1		
					1		
					/		
В)	VEHICLES AND TRUCKS						
					/		
					1		
					/		
					/		

	DESCRIPTION (type/make/model)	Power/ ca- pacity	No of units	Age (years)	Owned by (O) or hired (H)/ and percentage of ownership	Origin (country)	Present approxi- mate value in Euro
C)	OTHER EQUIPMENT				1		
					1		
					1		
					/		
					/		
					/		
					/		
					/		
					/		
					/		
					/		
					/		

Signature:	
(person or persor	ns authorized to sign on behalf of the Applicant)
Date:	

Section V: Scope of Contract

Section V – Scope of Contracts

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1 General information on the project area

Georgia, with a population of 4.95 million, is located in the southern Caucasus Region. Batumi, with a population of 130,000, is the capital and main economic hub of the Autonomous Republic of Adjara (ARA), one of the two Autonomous Republics in Georgia. Adjara is situated in the south-east of Georgia, bordering Turkey to the South. Adjara is a mountainous region with only Batumi and the Districts of Kobuleti and Khelvachauri situated on the coast.

The climate in Batumi is characterised by hot and humid summers and mild winters. Annual rainfall is high and well distributed over all seasons, with a minimum in late spring and a maximum in fall, leading to a general abundance of water. The hottest months are July and August, coldest is January.

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	avg.	Total
Avg. mm	251	205	183	137	104	183	172	247	273	295	270	268	216	2,590

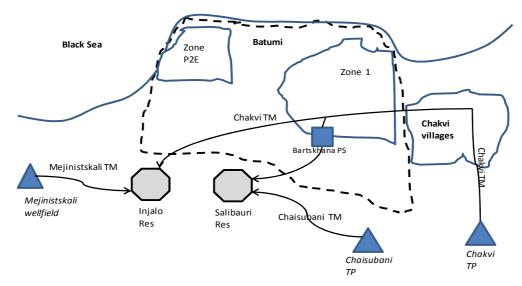
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Annual avg.
Avg. °C	7.1	7.2	8.3	12.3	15.6	19.8	22.2	22.4	20.0	15.9	11.7	8.8	14.3

1.1 Project Background

The entire project for rehabilitation of the water supply and sewer infrastructure for Batumi is divided into three Phases, of which Phase I and II are already implemented.

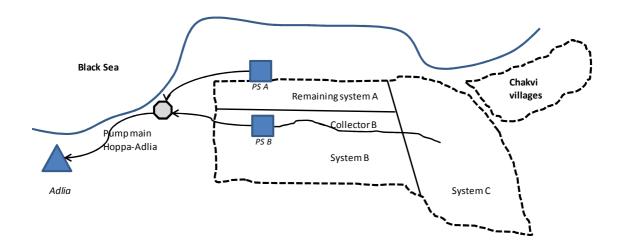
1.2 Phase III components in the water sector

Overview on Phase III components for further water supply improvements is shown in Figure below.



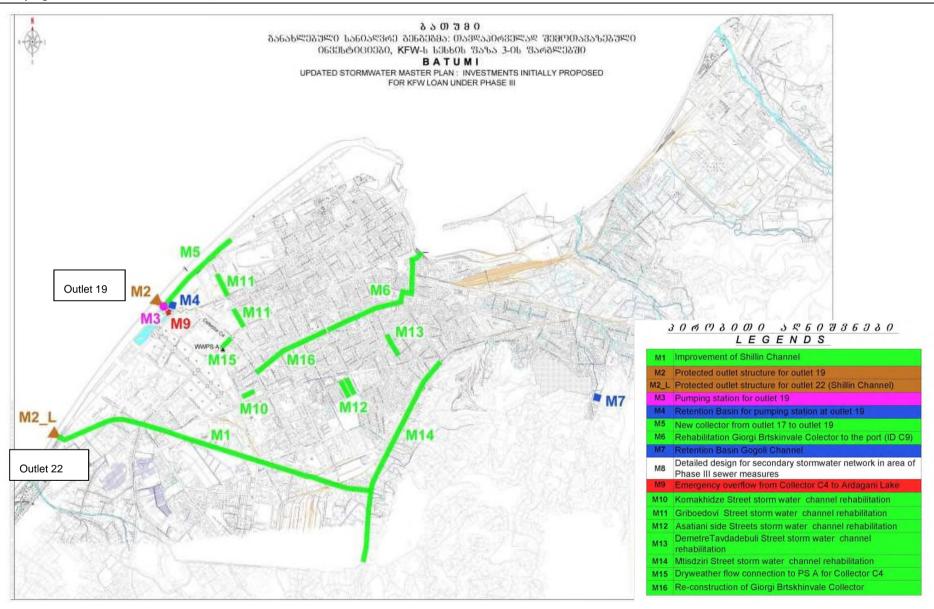
1.3 Phase III components in the sewerage sector

Phase III components for further wastewater infrastructure improvements are indicated in Figure below.



1.4 Phase III components in the stormwater sector

Phase III components for stormwater infrastructure improvements (subject to the present Tenders) are indicated in Figure below and its associated following table. This latter provides splitting between (i) the present stormwater tender package (Package N°3) and (ii) measures out of the present Tender's scope.



Stormwater Works - Pumping station and associated basins, Collectors and Channels

ID	Work Description	Tender Package/ Funding
	Improvement proposed for Phase III - Stromwater works	
M1	Improvement of Shillin Channel	Not included in present tender packages
M2+M3+M4+M9	Pumping Station, retention basin and Flush-Load basin for Outlet 19	Tender Package 3 – Lot 1
M2L	Pumping Station, retention basin and Flush-Load basin for Outlet 22	Not included in present tender packages
M5	New collector from outlet 17 to outlet 19	Not included in present tender packages
M6	Rehabilitation Giorgi Brtskinvale Collector to the port (ID C9) (from Melikishvili street to the Port, including the downstream Sulaberidze street part) *Part 3 & Part 4" as defined in below paragraphs	Tender Package 3 – Lot 3
M7	Retention Basin Gogoli channel	Not included in present tender packages
M8	Secondary stormwater network in area of Phase III sewer measures	Not included in present tender packages
M10	Komakhidze Street storm water channel rehabilitation	Not included in present tender packages
M11	Griboedovi Street storm water channel rehabilitation	Not included in present tender packages
M12	Asatiani side Streets storm water channel rehabilitation	Not included in present tender packages
M13	DemetreTavdadebuli Street storm water channel rehabilitation	Not included in present tender packages
M14	Mtisdziri Street storm water channel rehabilitation	Tender Package 3 – Lot 3
M16	Re-construction of Giorgi Brtskhinvale Collector (from Melikishvili street to Javahishvili street, including lifting pumping station) *Part 2" as defined in below paragraphs	Tender Package 3 – Lot 3
M17 (NEW)	Rehabilitation of Javahishvili collector	Tender Package 3 – Lot 2
M18 (NEW)	Rehabilitation of Giorgi Brtskhinvale channel (from Odzelashvili street to Javahishvili street) **Part 1" as defined in below paragraphs	Not included in present tender packages
M19 (NEW)	Construction of Mtisdziri Street storm water retention basins	Not included in present tender packages
M20 (NEW)	Rehabilitation of Shavsheti collector	Tender Package 3 – Lot 3

2 Phase III - Scope of Stormwater Works

2.1 Tender Package 3, Lot 1 – Outlet 19 structures: Pumping Station and associated basins

This works section includes the implementation of a stormwater Pumping station at Outlet 19, located on the sea shore and discharging to the sea, including its associated flush-load and retention basins (sea outfall is not part of the present Contract).

The main characteristics of the proposed structures are approximately as follow (characteristics to be further confirmed at Tender stage):

Pumping Station capacity: 2.8 m3/s, H=10m

Flush-load basin volume: 1,600 m3Retention basin volume: 1,400 m3

All these above structures are combined on the same site and entirely buried (down to approximately 11.0 m below ground level).

2.2 Tender Package 3, Lot 2 – Rehabilitation of Javahishvili collector

This works section includes the rehabilitation of Javahishvili collector on its overall length (total 1,447m), split into 6 sections as displayed on the following figure.



These characteristics are to be further confirmed at Tender stage.

2.3 Tender Package 3, Lot 3 – Rehabilitation of secondary stormwater collectors

2.3.1 Work Section 3-1: Rehabilitation of Giorgi Brstkinvale collector on its whole length

Giorgi Brstkinvale collector is divided hydraulically into 2 parts, as described here after. All below listed characteristics are to be further confirmed at Tender stage.

Rehabilitation of Giorgi Brstkinvale channel (Western part to Javahishvili)

The new design proposed for Giorgi channel (western part to Javahishvili), for a 5 years return period design flow, has the following characteristics:

Upstream section:

- Ø1000 circular pipe
- Slope: 0.056% (in compliance with EU standards minimum slope for such diameter)
- Length: 290m
- Upstream invert level: -2.09m
- Downstream invert level : -2.25m

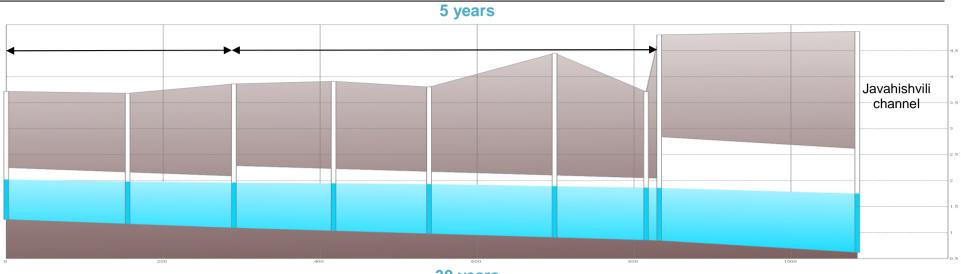
Downstream section:

- Ø1200 circular pipe
- Slope: 0.045% (in compliance with EU standards minimum slope for such diameter)
- Length: 525 m
- Upstream invert level: -2.25m
- Downstream invert level : -2.50m

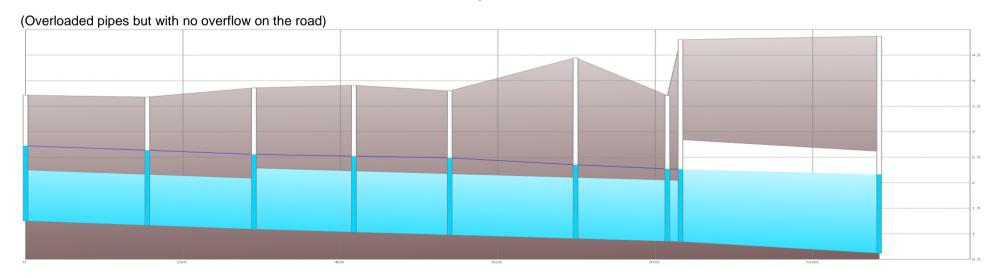
The longitudinal profiles calculated for the 5 and 30 years return period is given by the following figure.

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Stormwater Works - Pumping station and associated basins, Collectors and Channels



30 years



> Improvement of Giorgi Brtskinvale (Eastern part) and Sulaberidze channels to the port

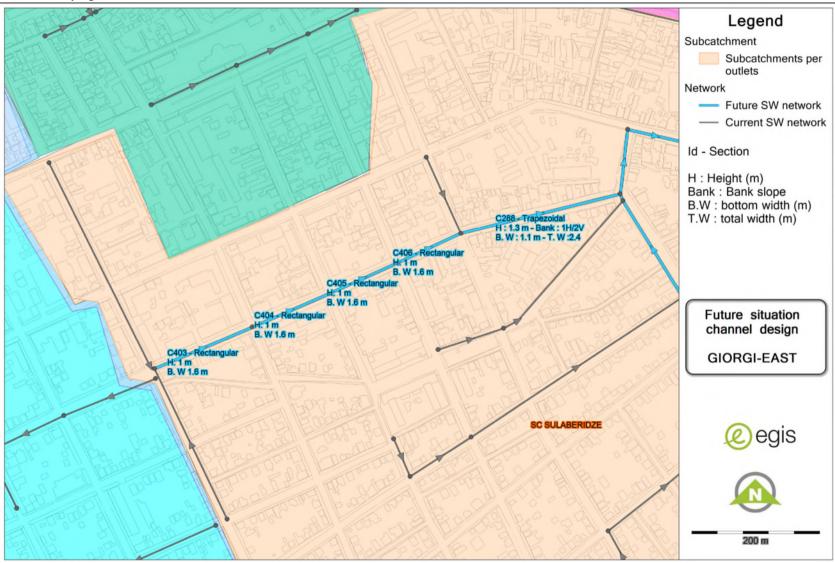
The design of the new collector is summarized in the table and in the figures below.

Stormwater Works - Pumping station and associated basins, Collectors and Channels

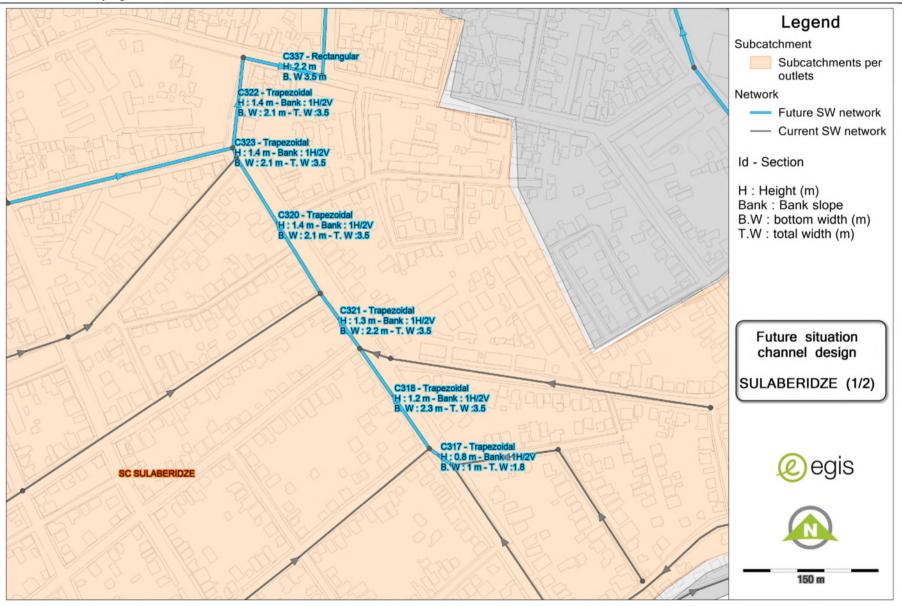
Channe	el design - G	SIORG	I-EAST -	BATUN	11 2016																			
Return period	Channel	Name	Lengt	h (m)	Rouh	gness	Slope	e (%)	Sec	tion	Diame heigh		Bottom v	vidth (m)	Total wi	dth (m)	bank	slope	Peak flo	ow (L/s)	Peak ve (m/		Filling ra	atio (%)
periou			Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current *	Future	Current	Future	Current	Future
		C403	166	166	0,022	0,017	0,08	0,15	RECT_CLOSED	RECT_CLOSED	1	1	1,6	1,6	-	-	-	-	817	882	0,9	1,1	60	50
		C404	130	130	0,022	0,017	0,07	0,15	RECT_CLOSED	RECT_CLOSED	1	1	1,6	1,6	-	-	-	-	785	867	0,9	1,1	60	50
5 years	GIORGI EAST	C405	118	118	0,022	0,017	0,09	0,15	RECT_CLOSED	RECT_CLOSED	1	1	1,6	1,6	-	-	-	-	726	843	0,9	1,1	60	50
		C406	109	109	0,022	0,017	0,07	0,15	RECT_CLOSED	RECT_CLOSED	1	1	1,6	1,6	1	-	-	-	668	819	0,9	1,0	70	60
		C288	257	257	0,022	0,017	0,05	0,15	RECT_CLOSED	TRAPEZOIDAL	1	1,3	1,6	1,1	-	2,4	-	1H/2V	604	755	0,6	0,6	80	70

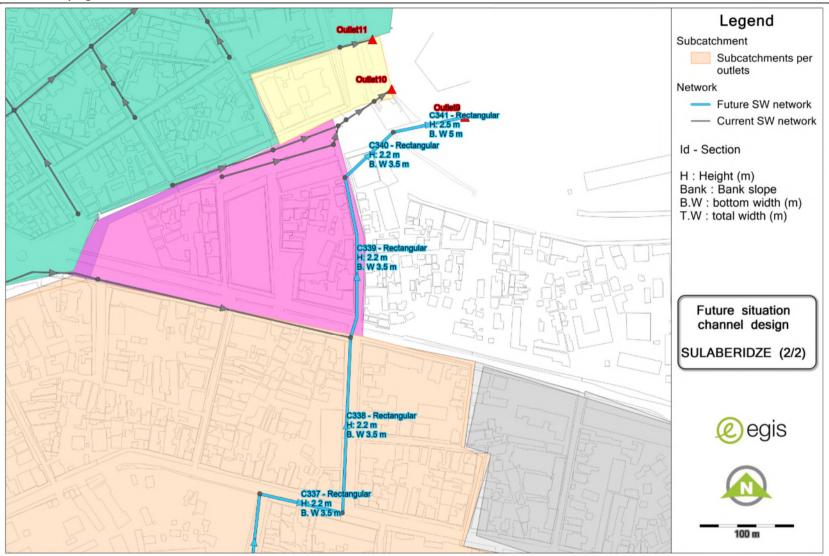
Channe	el design - S	ULAB	ERIDZE -	BATU	VII 2016																			
Return period	Channel	Name	Lengt	th (m)	Rouh	gness	Slop	e (%)	Sec	tion		etre or nt (m)	Bottom w	vidth (m)	Total w	idth (m)	bank	slope	Peak flo	ow (L/s)	Peak ve (m,		Filling ra	atio (%)
periou			Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current *	Future	Current	Future	Current	Future
		C317	31	31	0,022	0,017	3,25	0,10	CIRCULAR	TRAPEZOIDAL	0,8	0,8	-	1	-	1,8	-	1H/2V	253	258	0,8	1,7	100	40
		C318	135	135	0,022	0,017	0,06	0,10	RECT_CLOSED	TRAPEZOIDAL	1,1	1,2	1,7	2,3	-	3,5	-	1H/2V	1448	2651	0,8	1,1	100	80
		C321	76	76	0,022	0,017	0,07	0,10	RECT_CLOSED	TRAPEZOIDAL	1,1	1,3	1,7	2,2	-	3,5	-	1H/2V	1672	2996	0,9	1,2	100	80
		C320	177	177	0,022	0,017	0,06	0,10	RECT_CLOSED	TRAPEZOIDAL	1,1	1,4	1,7	2,1	-	3,5	-	1H/2V	1836	3386	1,0	1,3	100	80
Event	SULABERIDZE	C323	11	11	0,022	0,017	0,09	0,10	RECT_CLOSED	TRAPEZOIDAL	1,1	1,4	1,7	2,1	-	3,5	-	1H/2V	2312	4115	1,3	1,5	100	80
3 years	JOLABERIDZE	C322	101	101	0,022	0,017	0,05	0,10	RECT_CLOSED	TRAPEZOIDAL	1,1	1,4	1,7	2,1	-	3,5	-	1H/2V	2845	4778	1,6	1,7	100	80
		C337	90	90	0,022	0,017	0,04	0,10	RECT_CLOSED	RECT_CLOSED	2,2	2,2	3,5	3,5	-	-	-	-	2981	4941	0,9	1,4	50	50
		C338	186	186	0,022	0,017	0,05	0,10	RECT_CLOSED	RECT_CLOSED	2,2	2,2	3,5	3,5	-	-	-	-	3189	5208	1,0	1,5	40	50
		C339	173	173	0,022	0,017	0,07	0,10	RECT_CLOSED	RECT_CLOSED	2,2	2,2	3,5	3,5	-	-	-	-	3466	5570	1,2	1,7	40	40
		C340	70	70	0,022	0,017	0,11	0,10	RECT_CLOSED	RECT_CLOSED	2,2	2,2	3,5	3,5	-	-	-	-	3465	5576	1,4	2,0	30	40

^{*:} The peak flows in the existing situation are given within the underground collectors. They can thus differ widely from the peak flows in the future situation due to the overflows in the existing situation which flow in the streets.



Stormwater Works - Pumping station and associated basins, Collectors and Channels





2.3.2 Work Section 3-2: Mtisdziri street structures (channels and retention basins)

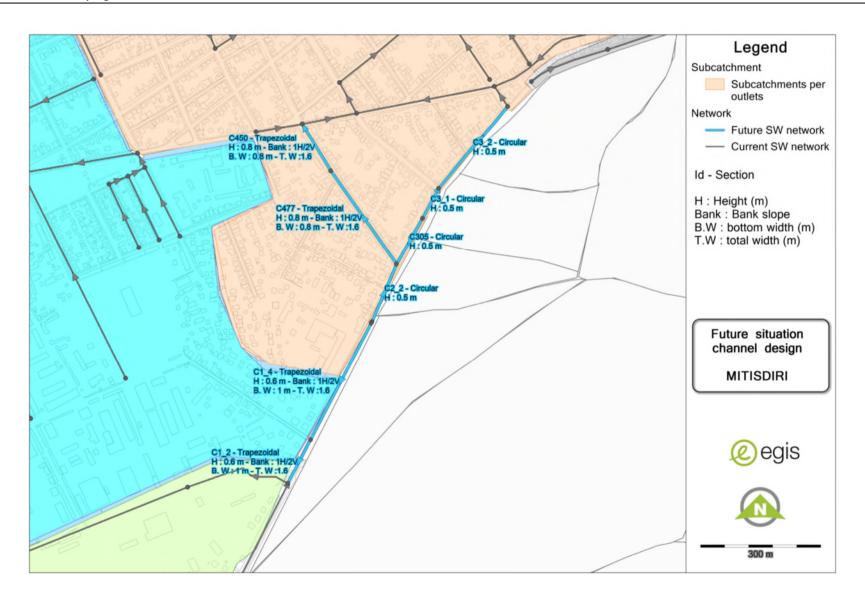
This works section includes the rehabilitation of "<u>Mtisdziri street collector</u>" over several selected parts.

The design of the new collector is summarized in the table and in the figure below.

Prequalification

Stormwater Works - Pumping station and associated basins, Collectors and Channels

Return	Channel		Name	Length (m)	Rouhgness	Slope (%)	Section	Diametre or height (m)	Bottom width (m)	Total width (m)	bank slope	Peak flow (L/s)	Peak velocity (m/s)	Filling ratio (%)	Outlet
periou			Future	Future	Future	Future	Future situation	Future	Future	Future	Future	Future	Future	Future	
		Coction 1	C1_4	331	0,017	0,1	TRAPEZOIDAL	0,6	1,0	1,6	1H/2V	403	0,8	0,7	SHILLIN
		Section 1	C1_2	124	0,017	0,1	TRAPEZOIDAL	0,6	1,0	1,6	1H/2V	356	0,7	0,8	SHILLIN
5 years	Mtisdziri	Section 2	C2_2	155	0,017	1,8	CIRCULAR	0,5	i	-	-	73	1,9	0,3	
3 years	IVILISUZIII	Section 3	C305	131	0,017	1,5	CIRCULAR	0,5	i	-	-	71	1,5	1.5 0.3	SULABERIDZE
		Section 4	C3_1	85	0,017	0,3	CIRCULAR	0,5	i	-	-	26	0,7	0,3	JULABERIDZE
		3ection 4	C3_2	267	0,017	0,8	CIRCULAR	0,5	1	-	-	26	0,5	0,5	



The above listed contents and technical characteristics remain to be further confirmed at Tender stage.

2.3.3 Work Section 3-3: rehabilitation of Shavsheti collector on its whole length

Shavsheti collector (currently 1 channel 1*1.5m*4.5m) has to be rehabilitated on its overall length, namely some 950m.

The design of the new collector is summarized in the table and in the figure below.

Exact dimensions of the new collector remain to be defined at Tender stage.

Stormwater Works - Pumping station and associated basins, Collectors and Channels

Channel	design - SHA	VSHETI - E	BATUMI 20	16																				
Return	Chamal	Maura	Lengt	h (m)	Rouh	gness	Slop	e (%)	Sec	tion	Diametre o	r height (m	Bottom	vidth (m)	Total w	idth (m)	bank	slope	Peak fl	ow (L/s)	Peak velo	city (m/s)	Filling r	ratio (%)
period	Channel	Name	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future	Current	Future
		C457_2	217	217	0,022	0,017	0,33	0,40	RECT_CLOSED	RECT_CLOSED	1,5	1	4,5	4,5		-	-	-	2134	2238	0,7	1,2	60	40
F	CHAVCHET	C427	118	188	0,022	0,017	0,13	0,15	RECT_CLOSED	RECT_CLOSED	1	1	2	4,5	-	-	-	1H/2V	2662	2909	1,3	1,1	100	60
5 years	SHAVSHETI	C428	85	85	0,022	0,017	0,26	0,15	RECT_CLOSED	RECT_CLOSED	1	1	2	4,5	-	-	-	1H/2V	3034	3311	1,8	1,0	90	70
		C343_1	323	323	0,022	0,017	0,25	0,15	RECT_CLOSED	RECT_CLOSED	1,8	1,8	5	5,0	-	-	-	-	5434	5687	1,6	1,8	40	40

^{*:} The peak flows in the existing situation are given within the underground collectors. They can thus differ widely from the peak flows in the future situation due to the overflows in the existing situation which flow in the streets.

